Appendix A

Date	Category (eg administration, contributions, funding, investments)	Pensions Regulator code of practice paragraph	Description and cause of breach	Possible effect of breach and wider implications	Reaction of relevant parties to breach	Reported/ Not reported	Outcome of report and or investigations	Out- standing Actions
Sept 2022	Administration Issue of Annual Benefit Statements (ABS)	189	Scheme regulations require an ABS be provided to each active member by the 31 August each year At 31 August 22 98.39% of statements had been sent out. (Members at 31 March 22 = 181285 ABS issued = 178365)	1.61% of active eligible members did not receive their ABS within prescribed time limits	Only accurate ABS are sent out. Work continues to release ABS to be sent out as soon as the record is in a position to have a correct ABS produced.	Not reported	Due to the relative low numbers this breach is not regarded as of material significance	Outstanding ABS continue to be issued as soon as the ABS block has been resolved
See schedule below	Administration Maintaining contributions	147	Employee's pension contributions must be paid to the manager of the scheme by the 19th day of the month following deduction or by 22nd day if paid electronically. Please see schedule below for details of employers who failed to make payment by the appropriate date.	Contributions not received by the scheme within the prescribed timescales	Immediate action: All employers have a designated business partner who contact each employer to make them aware of any late payment. Subsequent late payments incur an admin fee and are notified that further late payments may be reported to the Pensions Regulator. Continuing Action: Employers are closely monitored. Records of each employer who fail to make payment each month are maintained.	Not reported		All outstanding payments are chased up

		Date contributions		Value of late
Month	Employer	due	Date paid	contributions
Carry				
over from			No contributions	
2021/22	Basketball England	21.11.2021	received yet	985.36
April 2022	Halifax Opportunities Trust (Calderdale)	21.05.2022	23.05.2022	3228.95
	Fleet Factors	21.05.2022	17.06.2022	88.97
	Atalian Servest (Mast Academy Trust)	21.05.2022	30.06.2022	3281.85
	Hutchinson Catering Ltd (Cottingley Primary Academy)	21.05.2022	06.06.2022	508.38
	Hutchinson Catering Itd (Feversham Primary Academy)	21.05.2022	06.06.2022	717.22
	Kirklees Citizen Advice and Law Centre	21.05.2022	10.06.2022	762.76
			No contributions	
	Enviroserve (Priestley Academy Trust)	21.05.2022	received yet	
May 2022	Arcadis (UK) Itd	21.06.2022	22.06.2022	3988.37
	Atalian Servest (Mast Academy trust)	21.06.2022	30.06.2022	3451.74
			No contributions	
	Enviroserve (Priestely Academy Trust)	21.06.2022	received yet	
une 2022	South Elmsall Town Council	21.07.2022	05.08.2022	1184.37
	Fleet Factors	21.07.2022	19.08.2022	88.97
	Pool Parish Council	21.07.2022	26.07.2022	298.06
	Sitlington Parish Council	21.07.2022	08.09.2022	1011.14
	Heaton St Barnabas C of E Primary School	21.07.2022	08.09.2022	10182.04
	St Bedes and St Joseph's Catholic College	21.07.2022	08.09.2022	37960.78
	Low Moor Primary	21.07.2022	08.09.2022	9137.74
	Midshire Signature Services Ltd (Bronte Academy Trust)	21.07.2022	19.08.2022	419.91
	Hutchinson Catering Limited	21.07.2022	31.08.2022	96.27
	Independent Cleaning Services Ltd (Star Academies Trust)	21.07.2022	12.09.2022	509.42
	Midshire Signature Services Ltd (Coop Academy Smithies Moor)	21.07.2022	20.09.2022	475.02
			No contributions	
	Basketball England	21.07.2022	received yet	
	Aspire Igen Group Ltd	21.07.2022	23.08.2022	3922.91

			No contributions	
	Ryhill Parish Council	21.07.2022	received yet	
			No contributions	
	Enviroserve (Priestley Academy Trust)	21.07.2022	received yet	
July 2022	South Elmsall Town Council	21.08.2022	14.09.2022	1296.57
	Aspire Igen Group Ltd	21.08.2022	23.08.2022	3922.91
	CBRE Managed Services Ltd	21.08.2022	01.09.2022	2343.99
	Independent Cleaning Services Ltd (Star Academies Trust)	21.08.2022	12.09.2022	514.02
	Bulloughs (Temple Learning Academy RKLT)	21.08.2022	18.10.2022	3757.48
	Hutchinsons Catering Services Ltd (Iveson)	21.08.2022	31.08.2022	129.57
	Mellors Catering Services Ltd (Elevate MAT)	21.08.2022	19.10.2022	455.62
	Bulloughs Cleaning Services Ltd (Share MAT)	21.08.2022	13.10.2022	531.78
	Midshire Signature Services Ltd (Coop Academy Smithies Moor)	21.08.2022	20.09.2022	461.51
	Ryhill Parish Council	21.08.2022	No contributions received yet	
	Arcadis (UK) Ltd	21.08.2022	No contributions received yet	
	Enviroserve (Priestley Academy Trust)	21.08.2022	No contributions received yet	
August 2022	Micklefield Parish Council	21.09.2022	23.09.2022	332.93
	Fleet Factors	21.09.2022	05.10.2022	88.97
	Pool Parish Council	21.09.2022	29.09.2022	298.06
	Moorlands Learning Trust	21.09.2022	03.10.2022	41327.81
	South Pennines Academies	21.09.2022	27.09.2022	20722.98
	Affinity Trust	21.09.2022	12.10.2022	499.75

	Future Cleaning Services Ltd (Calderdale High)	21.09.2022	05.10.2022	893.11
	Bulloughs (Temple Learning Academy RKLT)	21.09.2022	18.10.2022	4390.48
	Bulloughs (Cleaning Services LTD (Share MAT)	21.09.2022	13.10.2022	677.45
	South Elmsall Town	21.09.2022	No contributions received yet	
		21.09.2022	No contributions	
	St Anne's Community Services	21.09.2022	received yet No contributions	
	St Anne's (Bradford) Community Services	21.09.2022	received yet No contributions	
	Enviroserve (Priestley Academy Trust)		received yet	
Sept 2022	Greenhead Sixth Form College	21.10.2022	02.11.2022	36980.52
	Arcadis (Uk) Ltd	21.10.2022	03.11.2022	2248.68
	RCCN Ltd (Chellow Heights School)	21.10.2022	11.11.2022	679.23
	Ryhill Parish Council	21.10.2022	No contributions received yet	
	South Elmsall Town Council	21.10.2022	No contributions received yet	
	South Hiendly Parish Council	21.10.2022	No contributions received yet	
	Enviroserve (Priestley Academy Trust)	21.10.2022	No contributions received yet	